

**DEFENSIE**  
LA DEFENSE

**S.B.M.H.S.-B.V.O.O.G.**  
CENTRE FOR HYPERBARIC OXYGEN THERAPY  
BRUSSELS - AN PEPIN

## Pulmonary Fitness for Diving and Hyperbaric Exposure

09 Dec 2017 – Military Hospital Brussels

Dr Peter Germonpré  
Centre for Hyperbaric Oxygen Therapy  
Military Hospital Brussels  
[peter.germonpre@mil.be](mailto:peter.germonpre@mil.be)

9 december 2017  
De Long onder druk

Control your Respiratory Conditions  
Water Zwaarte - Water over Hoofden  
Water Zwaarte - Water over Hoofden

**.be**



### Pulmonary risks in diving

- Barotrauma (pressure lesions)
  - Pneumothorax
  - Pneumomediastinum
  - Arterial Gas Embolism
- Gas exchange  $O_2 - CO_2$ 
  - Pulmonary oedema – hypoxemia
- Gas exchange inert gases
  - Decompression sickness

### Acceptability of Risk

- Probability x Severity of consequences
- Appreciation of “acceptable risk” = variable
- Therefore, no definite guidelines (fitness to dive with pulmonary disease) exist
- Difference in risk evaluation according to diving activity (recreational diving vs. Sports diving vs hyperbaric work vs hyperbaric patients)

Rating	Score	Probability Definition	Frequency Definition
Very High	5	>95% chance of occurrence	It may occur every day
High	4	66% - 95% chance of occurrence	It may occur several times in a month
Medium	3	36% - 65% chance of occurrence	It may occur several times in a year
Low	2	5% - 35% chance of occurrence	It may occur once in 2 years
Very Low	1	<5% chance of occurrence	It may occur once in 5 or more years

Rating	Score	Impact Definition
Very High	5	Event resulting in patient death or permanent disability
High	4	Event resulting in increased length of stay, major treatment or intervention
Medium	3	Event resulting in additional monitoring, treatment or intervention
Low	2	Event resulting in minimal treatment or intervention
Very Low	1	Event resulting in negligible effects or no effects

Examples of Risk Probability and Impact Matrix Variations

	Certain	5	10	15	20	25
Probability	Likely	4	8	12	16	20
	Possible	3	6	9	12	15
	Unlikely	2	4	6	8	10
	Remote	1	2	3	4	5
		Negligible	Minor	Moderate	Major	Catastrophic
		Impact				

	Very High	M	M	H	H	H
Probability	High	M	M	M	H	H
	Medium	L	M	M	M	H
	Low	L	L	M	M	M
	Very Low	L	L	L	M	M
		Very Low	Low	Medium	High	Very High
		Impact				

## Examples of "Fit to Dive" criteria

- toutes les formes d'asthme,
- les pneumothorax et les «trappes à air» pulmonaires,
- les infections pulmonaires,
- toutes maladies, malformations ou opérations réduisant les échanges pulmonaires



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## Examples of "Fit to Dive" criteria

### Contre-indications Absolues

- Insuffisance respiratoire
- Pneumopathie fibrosante
- Vascularite pulmonaire
- Pneumothorax spontané ou maladie bulleuse, même opéré
- Chirurgie pulmonaire
- Asthme

### Contre-indications Relatives

- Pathologie infectieuse
- Pleurésie
- Traumatisme thoracique



**Relative Risk Conditions**

- History of Asthma or Reactive Airway Disease (RAD)\*
- History of Exercise Induced Bronchospasm (EIB)\*
- History of solid, cystic or cavitating lesion\*
- Pneumothorax secondary to:
  - Thoracic Surgery
  - Trauma or Pleural Penetration\*
  - Previous Overinflation Injury\*
- Obesity
- History of Immersion Pulmonary Edema Restrictive Disease\*
- Interstitial lung disease: May increase the risk of pneumothorax

\* Spirometry should be normal before and after exercise

**Active Reactive Airway Disease, Active Asthma, Exercise Induced Bronchospasm, Chronic Obstructive Pulmonary Disease or history of same with abnormal PFTs or a positive exercise challenge are concerns for diving.**

**Severe Risk Conditions**

- History of spontaneous pneumothorax. Individuals who have experienced spontaneous pneumothorax should avoid diving, even after a surgical procedure designed to prevent recurrence (such as pleurodesis). Surgical procedures either do not correct the underlying lung abnormality (e.g.: pleurodesis, apical pleurectomy) or may not totally correct it (e.g.: resection of blebs or bullae).
- Impaired exercise performance due to respiratory disease.

**R.S.T.C. MEDICAL STATEMENT**

Condition	Candidate unfit to dive without need for further assessment	Candidate needs further assessment by respiratory physician with special interest in diving medicine	Additional guidance for specialists in secondary care
Acute respiratory disease such as pulmonary infection	All cases, until resolved with no sequelae		
Asthma	Requiring BTS Step 3 treatment and/or admitted to hospital with exacerbation in last 5 months; unstable asthma	Controlled on Step 1 or 2 of BTS guidelines – refer when diver is seen for the first time (initial medical) and consider re-referral if the condition subsequently changes (see paragraph 65)	Individuals with asthma should be found unfit to dive if they have wheeze precipitated by exercise, cold or emotion
Chronic obstructive pulmonary disease		All cases	
Cystic fibrosis	Pulmonary involvement	All other cases	
Tuberculosis	Active tuberculosis	After curative treatment, if lung function and chest radiography are normal	
Pulmonary fibrosis	Disease which reduces lung compliance and impairs gas transfer	All other cases	
Previous chest surgery: pneumonectomy		All cases	Candidate might be fit to dive if injury has healed and is associated with acceptable lung function and thoracic imaging
			Due to increased risk of barotrauma
		Resolved sarcoidosis	

**The medical examination and assessment of commercial divers (MA1)**

HSE 2015

BELGISCH STAATSBLAZ — 08.03.2000 — MONITEUR BELGE		6985
MINISTERIE VAN LANDSVERDEDIGING N. 2000 — 588 [S - C - 2000/07046]	MINISTERIE DE LA DEFENSE NATIONALE F. 2000 — 588 [S - C - 2000/07046]	
28 JANUARI 2000. — Koninklijk besluit betreffende de medische geschiktheid voor duikactiviteiten en voor dinge duiken	28 JANVIER 2000. — Arrêté royal relatif à l'aptitude médicale à des activités de plongée et à des plongées sèches	
<p>9. Vrij zijn van de volgende aandoeningen van de luchtwegen en van de volgende antecedenten:</p> <p>1° een laryngectomie;</p> <p>2° alle vormen van pleurasequelen, van welke oorsprong ook;</p> <p>3° elk antecedent van spontane pneumothorax;</p> <p>4° antecedenten van barotraumatisme van de longen, al dan niet gepaard met gasembolie;</p> <p>5° emfyseem;</p> <p>6° longbulla, -cyste of -caviteit, hoe klein ook;</p> <p>7° chronische bronchitis;</p> <p>8° bronchiectasieën;</p> <p>9° bronchi met ventilatenose;</p> <p>10° elk antecedent van longchirurgie;</p> <p>11° een longtuberculose die nog geen volledig jaar inactief is en waarbij de eventuele radiologische sequelen een risico zouden kunnen vormen voor een barotraumatisme.</p>	<p>9. Etre exempt des affections des voies respiratoires suivantes et des antécédents suivants:</p> <p>1° une laryngectomie;</p> <p>2° toute forme de séquelle pleurale, quelle que soit son origine;</p> <p>3° tout antécédent de pneumothorax spontané;</p> <p>4° des antécédents de barotraumatisme pulmonaire, accompagné d'embolie gazeuse ou non;</p> <p>5° l'emphysème;</p> <p>6° les bulles, les kystes ou les cavités pulmonaires quelle que soit leur taille;</p> <p>7° la bronchite chronique;</p> <p>8° les bronchiectasies;</p> <p>9° les sténoses bronchiques valvulaires;</p> <p>10° tout antécédent de chirurgie pulmonaire;</p> <p>11° une tuberculose pulmonaire inactive depuis moins d'une année complète et dont les séquelles radiologiques éventuelles pourraient former un risque d'un barotraumatisme.</p>	

BELGISCH STAATSBLAZ — 26.01.2004 — MONITEUR BELGE		4517
FEDERALE OVERHEIDSDIENST WERKGELEGENHEID, ARBEID EN SOCIAAL OVERLEG N. 2004 — 265 [2003/202219]	SERVICE PUBLIC FEDERAL EMPLOI, TRAVAIL ET CONCERTATION SOCIALE F. 2004 — 265 [2003/202219]	
23 DECEMBER 2003. — Koninklijk besluit betreffende de bescherming van de werknemers tegen de risico's bij werkzaamheden in een hyperbare omgeving (1)	23 DECEMBRE 2003. — Arrêté royal relatif à la protection des travailleurs contre les risques liés aux travaux en milieu hyperbare (1)	
<p>Art. 17. De betrokken werknemer wordt onderworpen aan een jaarlijkse periodieke gezondheidsbeoordeling.</p> <p>Die beoordeling bestaat in een algemeen klinisch onderzoek dat, naar gelang van het geval wordt aangevuld met gerichte onderzoeken met het oog op de vroegrijpe opsporing van aandoeningen die het gevolg zijn van blootstelling in een hyperbare omgeving.</p> <p>Die gerichte onderzoeken omvatten:</p> <p>1° een onderzoek van de ademhalingsorganen en van de longfunctie;</p> <p>2° een onderzoek van de keel-, neus- en oorholten met daarbij in het bijzonder een audiometrie;</p> <p>3° een bloedonderzoek;</p> <p>4° een radiologisch onderzoek van de bovenste en onderste ledematen. Dit onderzoek wordt om de vijf jaar herhaald wanneer de gezondheidsstand van de werknemer en zijn medische antecedenten dit rechtvaardigen.</p> <p>Art. 18. Zodra de preventiadviseur-arbeidsinspectie de jaarlijkse periodieke gezondheidsbeoordeling heeft verricht, stelt hij aan de werkgever de gepaste individuele en collectieve preventiemaatregelen voor die ten aanzien van elke werknemer moeten worden genomen.</p> <p>De individuele maatregelen kunnen, in voorkomend geval, bestaan in een verbod voor de betrokken werknemer om werkzaamheden in een hyperbare omgeving uit te voeren. Zij worden genomen overeenkomstig de bepalingen van afdeling 6 van het bij artikel 15 bedoeld besluit.</p>	<p>Art. 17. Le travailleur concerné est soumis à une évaluation de santé périodique annuelle.</p> <p>Cette évaluation consiste en un examen clinique général complet, selon le cas, par des examens dirigés en vue de procéder au dépistage précoce des affections résultant de l'exposition en milieu hyperbare.</p> <p>Ces examens dirigés comprennent:</p> <p>1° un examen de l'appareil et de la fonction respiratoires;</p> <p>2° un examen de la sphère oto-rhino-laryngologique incluant notamment une audiométrie;</p> <p>3° un examen hématologique;</p> <p>4° un examen radiologique des membres supérieurs et inférieurs. Cet examen est renouvelé tous les 5 ans lorsque l'état de santé du travailleur et ses antécédents médicaux le justifient.</p> <p>Art. 18. Dès que le conseiller en prévention-médecin du travail a effectué l'évaluation de santé périodique annuelle, il propose à l'employeur les mesures de prévention individuelle et collective adaptées à prendre à l'égard de chaque travailleur.</p> <p>Les mesures individuelles peuvent comprendre, le cas échéant, l'interdiction pour le travailleur concerné d'effectuer des travaux en milieu hyperbare. Elles sont prises conformément aux dispositions de la section 6 de l'arrêté visé à l'article 15.</p>	

## Basis of most recommendations

### BTS GUIDELINES

#### British Thoracic Society guidelines on respiratory aspects of fitness for diving

British Thoracic Society Fitness to Dive Group, a Subgroup of the British Thoracic Society Standards of Care Committee\*

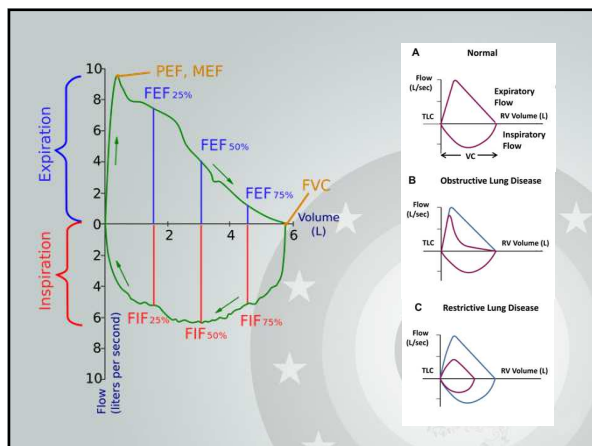
Thorax 2003;58:3-13

- Old ? But still valid & well balanced

## Minimal requirements for divers

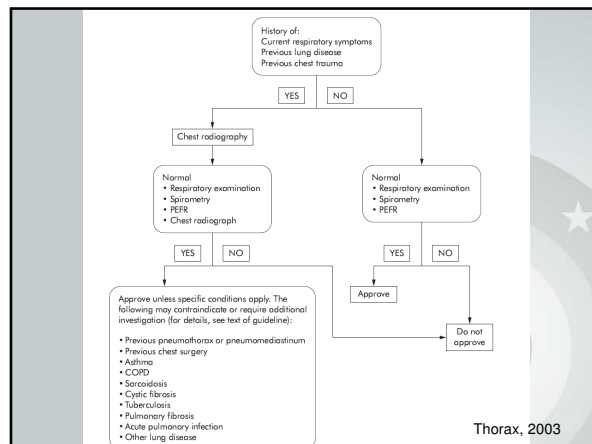
- Medical history
  - current respiratory symptoms
  - previous history of lung disease incl. childhood
  - previous trauma to the chest
  - previous episodes of pneumothorax
- Respiratory system clinical examination
- Lung function tests
  - FEV1, FVC, FEV1/FVC (Tiffeneau)
  - Flow-Volume Loop\*
  - Provocation testing (exercise provocation) at slightest doubt !

Tetzlaff K. et al. Risk factors for pulmonary barotrauma in divers. Chest 1997; 112:654-659



## Pulmonary requirements for divers

- Chest X-ray – in case of symptoms / history (required for professional divers)
- Chest HRCT: air-trapping, bullae
- Asthmatics
- Spontaneous pneumothorax
- Bullae / blebs



## Asthmatics and diving

- Risk = bronchospasm during diving
  - Already present before diving
  - Induced by diving (breathing cold air, increased turbulent flow by effort and pressure, salt water spray inhalation)
- Decreased exercise capacity → exhaustion
- Air trapping → pulmonary barotrauma
- Bronchodilators may increase pulmonary bubble passage → DCS
- Consequences = severe = unacceptable ?

## Asthmatics do dive

- Divers Alert Network: no increased prevalence of asthmatics in DCS and PBT statistics
- Prevalence of asthma may be as high as 30% in general population
- Several (low quality studies) suggest that many asthmatics dive without (too much) problems

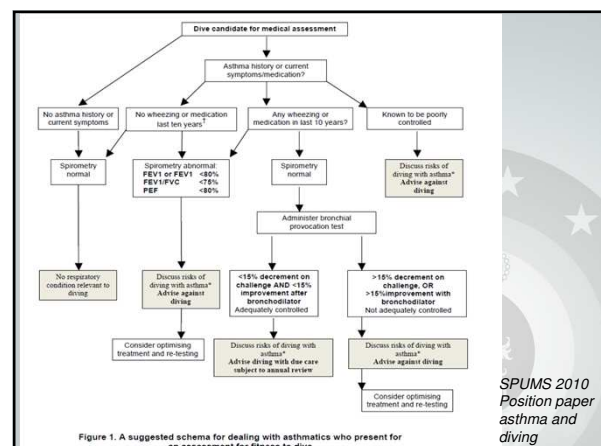
Mebane GY. The coincidence of asthma and morbidity or mortality in recreational scuba divers reported to DAN. UHMS 1996.  
Farrell P et al. Diving practices of scuba divers with asthma. BMJ 1990; 300:166

## Asthmatics can dive

- British Thoracic Society Guidelines 2003
  - Free of symptoms with/without medication
  - Normal spirometry (FEV1 >80%, FEV1/FVC >70%)
  - Negative exercise provocation test (8 minutes exercise 70-80% of max; breathing compressed air, <15% decrease of FEV1)
  - NO DIVING if
    - requiring medication <48hrs or
    - PEF decrease >10% from best value or
    - increased PEF variability >20% diurnal

## Provocation testing

- Direct provocation tests (histamine, metacholine, hypertonic saline): may be positive in 10-12% of healthy population
- Of those, (only) approx. 20% will test positive on exercise provocation
- Pulmonary function tests should be APPROPRIATE for divers and COMPLETE



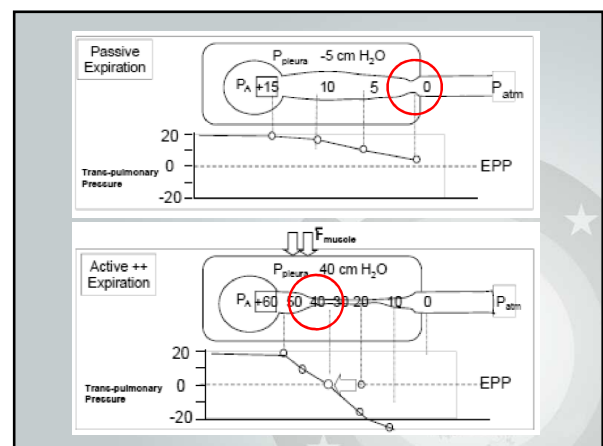
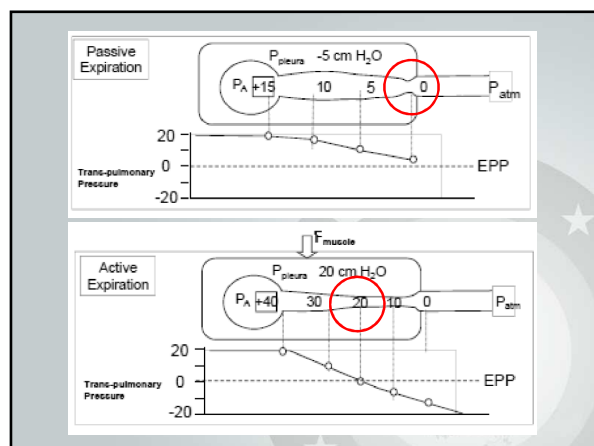
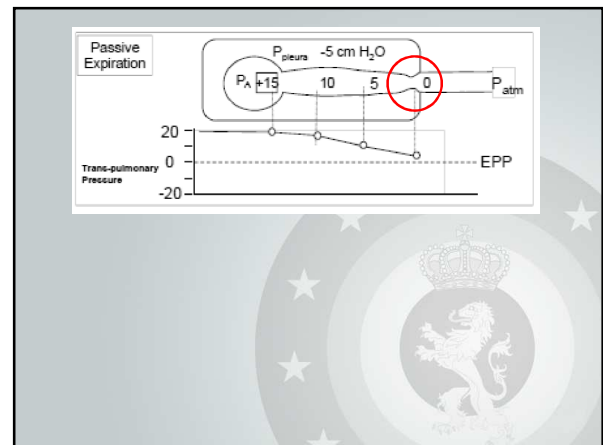
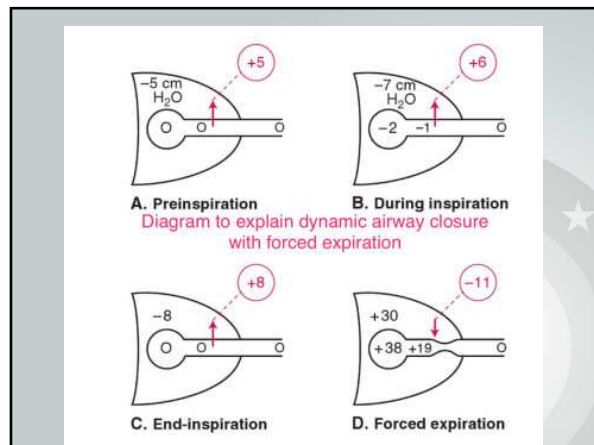


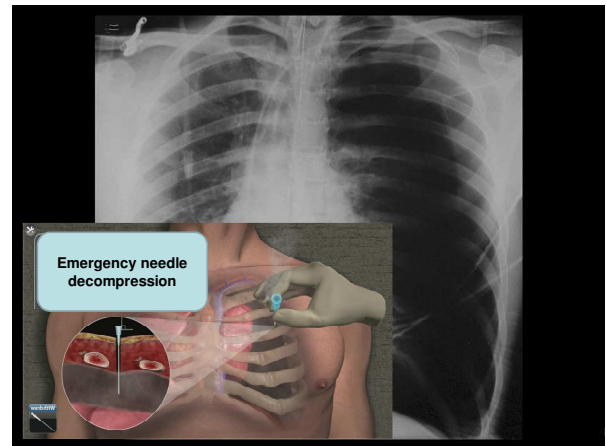
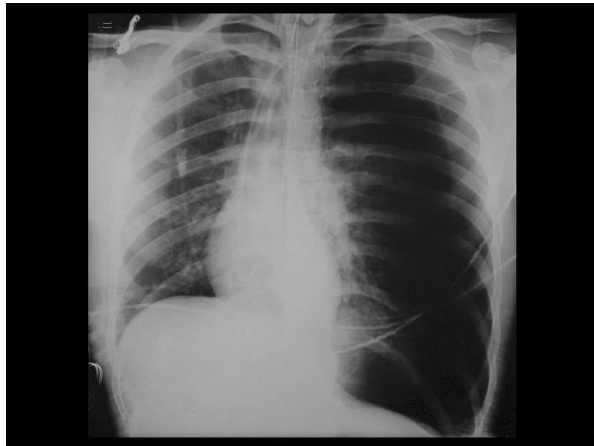
## Spirometric abnormalities

- Spirometric value thresholds vary according to source (BTS vs SPUMS vs...)
- Tiffeneau value: dependent on age, body size, race
- "Large lungs" – spirometry values > 120% of normal value
  - Van Hulst et al. 2011 : 6 cases of PBT in military divers
  - Subject selection or diving induced abnormality ?
  - All had also CT evidence of air trapping, bullae or blebs

## Spontaneous pneumothorax

- Risk = pneumothorax while at depth
  - Spontaneous
  - Breathing against resistance
  - Equal Pressure Point shifting = hyperinflation
- Consequences:
  - Tension pneumothorax





### Spontaneous pneumothorax

- Recurrence rate, after initial drainage:
  - 35% → 54% total recurrence rate,
  - 25% of recurrences contra-lateral lung
  - 75% of recurrences within 2 years but even after 6-8 years (18%)
- Pleurodesis or not:
  - Simple drainage: 38.5% recurrence
  - Chemical pleurodesis: 26.5%
  - Surgical pleurodesis (pleurectomy): 0 – 0.5 %

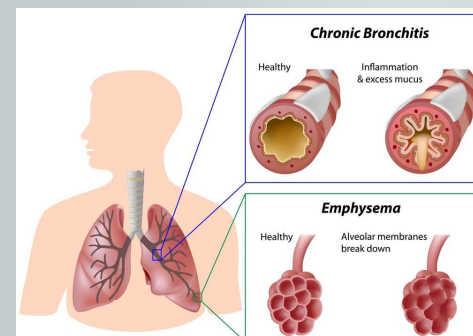
### Recommendations BTS 2003

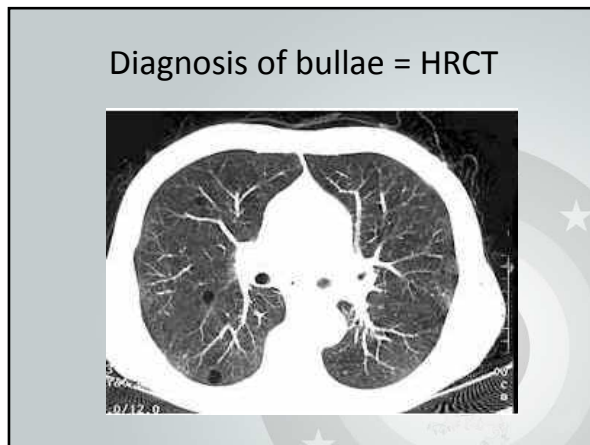
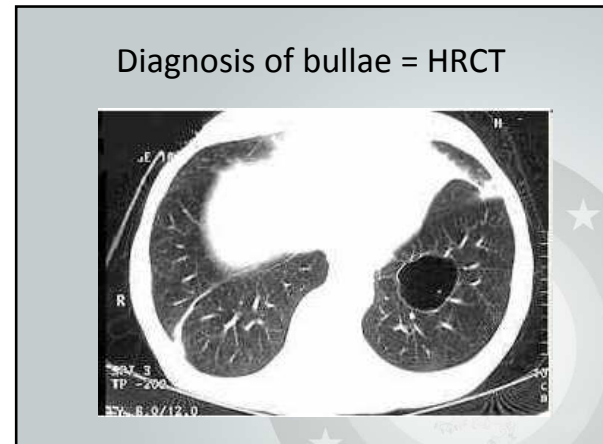
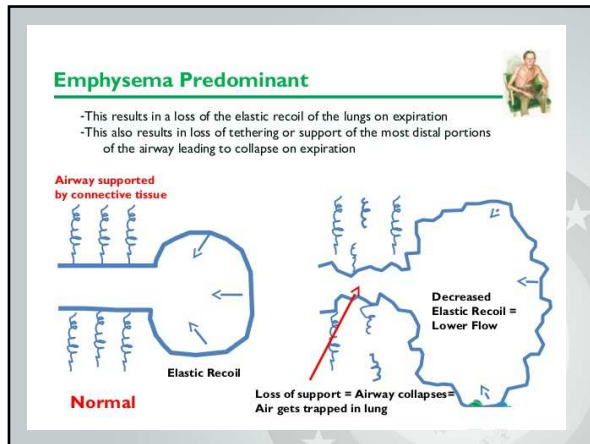
- Spontaneous pneumothorax = contra-indication to all diving
- UNLESS
  - Treated with BILATERAL surgical pleurectomy
  - AND associated with normal lung function (Flow-Volume Loop) and thoracic HRCT scan
- For recreational divers ???
  - Federation guidelines differ – mostly: NO

### Pulmonary Bullae - Blebs

- Risk = overinflation upon ascent
  - Pneumothorax
  - Pneumomediastinum
  - Arterial Gas Embolism
- Risk factors:
  - Congenital
  - Infectious
  - SMOKING

### Bullae - Blebs





### Discussion point

- “Isolated” bullae → no risk ?
- “Thick-walled” → no risk ?
- Note: Evidence for increasing size of bullae with diving (years)

206 Diving and Hyperbaric Medicine, Volume 36 No. 4 December 2008

#### Case report

##### Influence of scuba diving on asymptomatic isolated pulmonary bullae

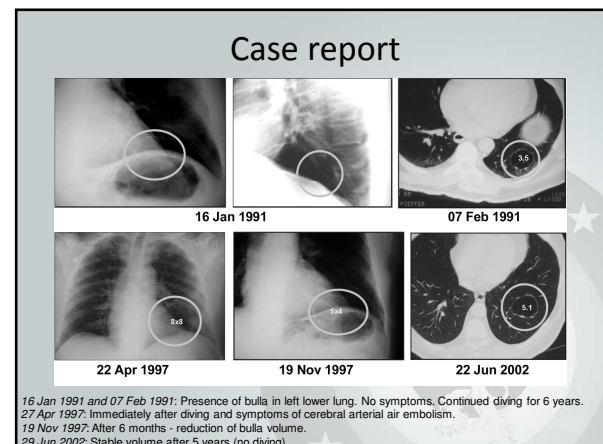
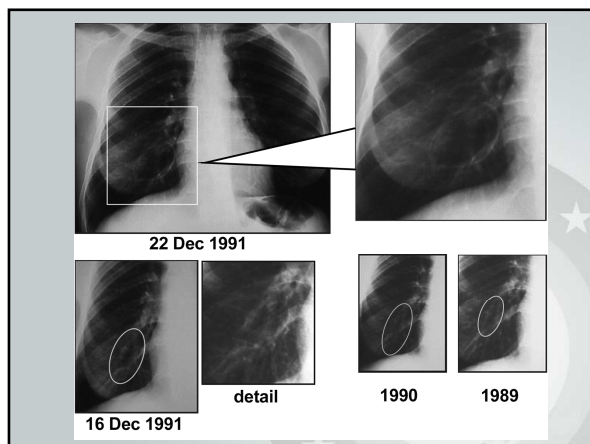
Peter Germonpre, Costantino Balestra and Thierry Pieters

**Key words:** Pulmonary bullae, arterial gas embolism, barotrauma to dive, risk assessment, medical conditions and problems, case report.

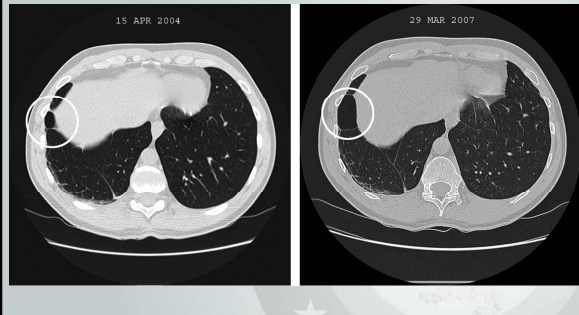
**(Abstract)**

*(Commentary by) Robinson C, Pether T. Influence of scuba diving on asymptomatic isolated pulmonary bullae. Diving and Hyperbaric Medicine. 2008; 36: 206-211.*

Pulmonary bullae and bullae are generally considered as absolute contraindications for scuba diving. Because of a high potential for complications, bullae are generally considered as contraindications for diving. This is primarily based on a number of case reports of pulmonary bullae rupture during diving. However, the evidence for this is limited. The present case report describes a patient with an isolated pulmonary bulla who was radiologically stable for 10 years. During this time, the patient was involved in a number of diving accidents, but none were related to the bulla. The patient was eventually diagnosed with a pulmonary bulla when a pulmonary bulla was radiologically shown to increase in diameter, seemingly related to scuba diving activity, and causing ultimately a barotrauma diving accident. There were generally pathophysiological data as to how even an isolated, non-ventilated bulla can be the cause of pulmonary barotrauma. The most likely mechanism for this phenomenon is a stretching of the bulla upon inspiration that leads to a partial compression of the bulla. This leads to a partial collapse of air through the bulla wall, with traction to its solid side by the rest of the lung. Upon ascent, the air diffuses only slowly out of the bulla, causing a progressive increase in diameter and stretching of the bulla wall. This repeated stretching causes the bulla to grow gradually. At one point, the cyst wall may become critically thin and rupture during the ascent.

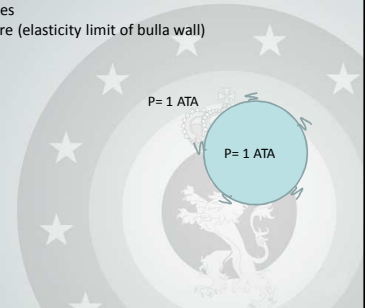


### Case report: residual air space after pleurodesis



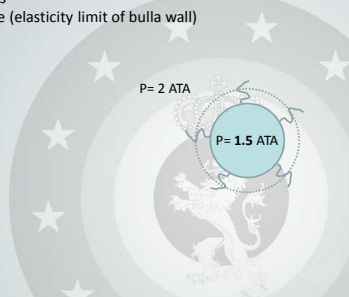
### Proposed mechanism

- Descent (Boyle's Law) :
  - Bulla volume decreases
  - Relative underpressure (elasticity limit of bulla wall)



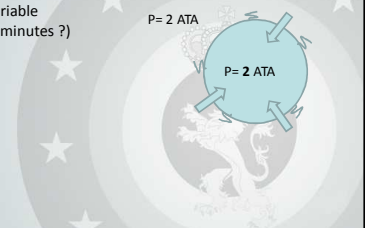
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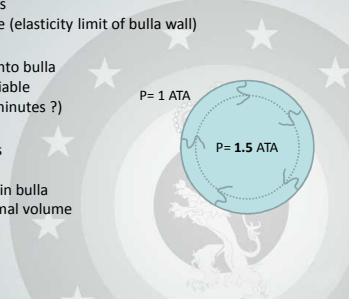
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- Isopression :
  - Diffusion of inert gas into bulla
  - Equipressure after variable amount of time (30+ minutes ?)



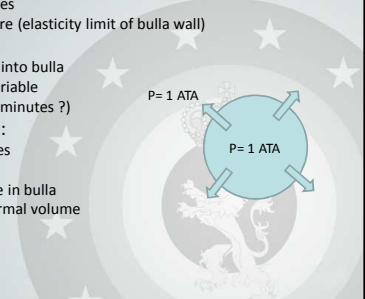
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  - Relative overpressure in bulla
  - Gradual return to normal volume



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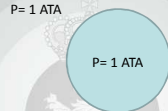
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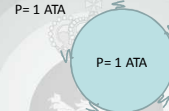
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- Ascent (Boyle's Law) :
  - Bulla volume increases
  - Bulla wall stretches
  - Relative overpressure in bulla
  - Gradual return to normal volume
- Cyclic !
- At one point, bulla wall "overstretches" during / after ascent → Pulmonary Barotrauma






### Evaluation of asymptomatic, isolated, pulmonary bulla: HRCT, PFT !

- Location ? Apical vs. juxtapleural vs intrapulmonary
- Size ? > 1cm
- Other pulmonary abnormalities (pleural adhesions, air-trapping), Flow Volume Loop ?
- Clinical history ?
  - Pneumothorax
  - Pulmonary barotrauma
  - Smoking, asthma
- Diving history (years, dives)
- In case of doubt, repeat CT scan after x months diving ?

### Pulmonary symptoms after diving ?

- **Recognise & treat as a possible emergency !**
- Contact hyperbaric / diving medicine specialist
  - 24/24 Hotline for diving emergencies
  - Toll-free number (Belgium)
  - Telephone advice only
  - Referral to HBO centre in case of need




## Pulmonary Fitness for Diving and Hyperbaric Exposure

09 Dec 2017 – Military Hospital Brussels

Dr Peter Germonpré  
Centre for Hyperbaric Oxygen Therapy  
Military Hospital Brussels  
[peter.germonpre@mil.be](mailto:peter.germonpre@mil.be)



9 december 2017  
De Long onder druk

